Pledge Request Form (PRF)

**❑ @ Setup of Pledge** ❑ **Confirmation of Pledge**

|  |
| --- |
| Depository Participant Name /Address |

Please fill all the details in **Block Letters** in English

|  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| PRF No. |  | Date |  |  |  |  |  |  |  |  |

I/We request you to@ **create the pledge / confirm the creation of pledge for@** the following securities. I/We have read and understood the Depositories Act, SEBI Regulations and the Bye Laws in relation to pledge of securities and I/We agree to abide by and be bound by the Act, Regulations and the Bye Laws as are in force from time to time for such pledges.

**Pledgor’s Details**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| DP ID | 1 | 2 | 0 | 1 | 3 | 7 | 0 | 0 | Client ID |  |  |  |  |  |  |  |  |
| Pledgor’s Name | 1.  2.  3. | | | | | | | | | | | | | | | | |
|  |  | | | | | | | | | | | | | | | | |

**Pledgee’s Details**

|  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| DP ID |  |  |  |  |  |  |  |  | Client ID |  |  |  |  |  |  |  |  |
| Pledgee’s Name | 1.  2.  3. | | | | | | | | | | | | | | | | |

**Details of Securities:** ❑ **Free Securities** ❑ **Locked–in Securities**

**Details of Securities offered for Pledge.**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Sr. no** | **ISIN** | **Company Name** | **Quantity** | @ **Date of Release**  **(lock-in)** | **PSN**  **(System Generated)** | @ **Accepted / Rejected by Pledgee** |
| 1 |  |  |  |  |  |  |
| 2 |  |  |  |  |  |  |
| 3 |  |  |  |  |  |  |

Attach an annexure duly signed by the account holder(s), if the space above is insufficient.

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Pledge Expiry Date |  |  |  |  |  |  |  |  |
| Pledge Value (Rs.) |  | | | | | | | |
| Agreement No. |  | | | | | | | |
| Date of Pledging |  |  |  |  |  |  |  |  |

@

@**To be filled and signed in case of Set-up of Pledge by Pledgor BO**

**Signature of the Pledgor**

|  |  |  |
| --- | --- | --- |
| **First/ Sole Applicant** | **Second Applicant** | **Third Applicant** |
|  |  |  |

**@To be filled in case of acceptance of Pledge by Pledgee BO**

#### @ As a pledgee, I/We are aware that the pledge recorded in the system only prohibits the Pledgor from dealing with securities until redemption/maturity/expiry date of the securities pledged and that the records of pledged securities may be removed from the system as a result of redemption/maturity/expiry of the securities.

**Signature of Pledgee(s) \***

|  |  |  |
| --- | --- | --- |
| **First Holder** | **Second Holder** | **Third Holder** |
|  |  |  |

\* If the Pledgee is a bank DP, the signature of the pledgee need not be taken on the Pledge request form.

## Depository Participant Seal and Signature

**@** Reference Communiqué no. **CDSL/OPS/DP/POLCY/3277** dated October 16, 2012